



0300 #4

PATENT  
786-149P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Jin-Young CHOI et al.  
Serial No.: 09/139,270 Group: 3617  
Filed: August 25, 1998 Examiner: Not Assigned  
For: SEMICONDUCTOR WAFER PROCESSING SYSTEM  
ATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE

REQUEST FOR A REFUND OF 50% OF THE FILING FEE

Assistant Commissioner for Patents  
Washington, D.C. 20231

October 25, 1998

Sir:

The following documentation and remarks are respectfully submitted in connection with the above-identified application.

DOCUMENTATION

Attached hereto is copy of an executed Verified Statement Claiming Small Entity Status (37 C.F.R. § 1.9(f) and 1.27(c)) - Small Business Concern filed on even date herewith under separate cover.

REMARKS

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a refund of 50% of the Filing Fee. Small entity status has been established by the filing of a verified statement on October 26, 1998.

Small entity applicants may now file the necessary Verified

Application No. 09/139,270

Statement within two (2) months of the date of payment of any particular fee and request a refund. Attached hereto is a copy of the Verified Statement Claiming Small Entity Status as Small Business Concern. The present application qualifies for the reduced fees as implemented by the U.S. Patent and Trademark Office on October 1, 1982, and further amended on January 20, 1983.

The present application was filed on August 25, 1998 with a filing fee of \$ 790.00. It is respectfully requested that the excess fee of \$ 395.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

Joseph A. Kolasch  
Reg. No. 22,463

JAK/mks

Attachment

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

(Rev. 12/4/97)  
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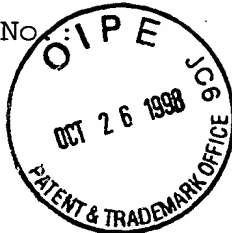
sector #3  
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BOX MISSING PARTS  
PATENT  
786-149

IN THE U.S. PATENT AND TRADEMARK OFFICE

03C0

Applicants Jin-Young CHOI et al.  
Serial No. 09/139,270 Group: 3617  
Filed: August 25, 1998 Examiner: Not Assigned  
For: SEMICONDUCTOR WAFER PROCESSING SYSTEM



THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS  
FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. § 1.53(f)

Assistant Commissioner for Patents October 26, 1998 (Monday)  
Washington, DC 20231

Sir:

The application papers for the above-identified application were originally filed on August 25, 1998 and the application was assigned Serial No. 09/139,270.

X Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (X original \_\_\_ photocopy), necessary for completing the filing requirements in connection with the above-identified application.

\_\_\_ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration which was attached to the specification at the time of execution. The attached specification is a true copy of the specification which was filed in the U.S. Patent and Trademark office on \_\_\_\_\_

10/27/1998 SSALEEKU 00000100 09139270

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Application No. 09/139,270

\_\_\_\_\_, including any amendments thereto (if applicable) filed on even date therewith.

X The undersigned hereby declares that "Attorney Docket No. 786-149P" on page 1 of the attached inventors' Declaration corresponds to Application Serial No. 09/130.280 filed August 25, 1998 entitled "SEMICONDUCTOR WAFER PROCESSING SYSTEM".

\_\_\_\_ Attached are \_\_\_\_\_ sheet(s) of formal drawings.

X Attached is a copy of Form PTO-1533.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

\_\_\_\_ Filing Fee \$\_\_\_\_\_

X Surcharge (\_\_\_\_ Large Entity - \$130.00)  
(X Small Entity - \$ 65.00)

X Attached hereto is the Verified Statement Claiming Small Entity Status (X original \_\_\_\_ photocopy).

X Submitted concurrently herewith **under separate cover** for recording is an Assignment.

\_\_\_\_ No extension fee is required because the undersigned has not yet received the Notice to File Missing Parts of Application (Form PTO-1533). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 CFR § 1.136 and 37 CFR § 1.17.

\_\_\_ Applicant(s) hereby respectfully petitions for a \_\_\_  
\_ month(s) extension of time for the filing of the present paper  
in accordance with the provisions of 37 C.F.R. § 1.136 and 37  
C.F.R. § 1.17. The required fee of \$\_\_\_\_\_ is attached  
hereto.

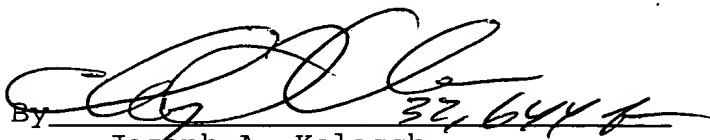
X A check in the amount of \$ 65.00 to cover the basic  
filing fee, surcharge fee and any extension of time fees (if  
applicable) is enclosed.

\_\_\_ Please charge Deposit Account No. 02-2448 in the amount  
of \$\_\_\_\_\_. A triplicate copy of this transmittal form is  
enclosed.

If necessary, the Commissioner is hereby authorized in this,  
concurrent, and future replies, to charge payment or credit any  
overpayment to Deposit Account No. 02-2448 for any additional fee  
required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension  
of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Joseph A. Kolasch  
Reg. No. 22,463

JAK/mks

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Falls Church, VA 22040-0747  
(703) 205-8000

Attachments  
(Rev. 8/7/98)  
J:\PERFECT.9

JAK #5  
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/139,270	08/25/98	CHOI	J 9786-0149P

0262/0917

BIRCH STEWART KOLASCH &amp; BIRCH

P O BOX 747

FALLS CHURCH VA 22040-0747

DOCKETED

Perfect filing

NOT ASSIGNED

due 11/17/98 3617

DATE MAILED

09/17/98

## NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_ including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

CEB 5 1 1308

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND			
1 Date of Request: <u>10/29/98</u>		2 Serial/Patent # <u>07/139270</u>	
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED
<input checked="" type="checkbox"/>	Filing		\$ <u>395.00</u>
<input type="checkbox"/>	Amendment		\$
<input type="checkbox"/>	Extension of Time		\$
<input type="checkbox"/>	Notice of Appeal/Appeal		\$
<input type="checkbox"/>	Petition		\$
<input type="checkbox"/>	Issue		\$
<input type="checkbox"/>	Cert of Correction/Terminal Disc.		\$
<input type="checkbox"/>	Maintenance		\$
<input type="checkbox"/>	Assignment		\$
<input type="checkbox"/>	Other		\$
		7 TOTAL AMOUNT OF REFUND	
		\$	
10 REASON:		8 TO BE REFUNDED BY:	
		<input type="checkbox"/> Treasury Check	
		<input checked="" type="checkbox"/> Credit Deposit A/C #: <u>02--2448</u>	
<input type="checkbox"/>	Overpayment		
<input type="checkbox"/>	Duplicate Payment		
<input type="checkbox"/>	No Fee Due (Explanation):		
11 REFUND REQUESTED BY:			
TYPED/PRINTED NAME: _____		TITLE: _____	
SIGNATURE: _____		PHONE: _____	
OFFICE: _____			
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****			
APPROVED: _____		DATE: _____	

*Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:*